

# Outpatient clonal propagation propelled rapid regional establishment of an emergent carbapenem-resistant *Acinetobacter baumannii* lineage ST499Pas

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1 **Outpatient clonal propagation propelled rapid regional establishment of an emergent**  
2 **carbapenem-resistant *Acinetobacter baumannii* lineage ST499<sup>Pas</sup>**

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25 **Running title:** CR-A. *baumannii* ST499 rapid emergence

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## Abstract

Eliminating carbapenem-resistant *Acinetobacter baumannii* (CRAb) disease requires comprehensive knowledge of how this non-commensal organism propagates among at-risk hosts. We molecularly characterized an ongoing surge of CRAb cases among patients in a Midwest USA healthcare system, which coincided with sustained reductions in hospital-acquired CRAb infections and falloffs of cases associated with distinctly more resistant antibiotypes. Genome sequencing revealed surge isolates belonged to an emergent Pasteur scheme sequence type 499 (ST499<sup>Pas</sup>) and comprised multiple contemporaneous clonal clusters. Detailed query of health records revealed no consistent hospital source but instead identified various outpatient healthcare settings linked to cluster cases. We show CRAb can rapidly establish a regional presence even without gains in breadth of antibiotic resistance and negligible contribution from sustained intrahospital transmission. As CRAb lineages may sidestep control efforts via outpatient epidemiological niches, our approach can be implemented to investigate outpatient CRAb propagation and inform subsequent local surveillance outside of hospital settings.

**Key words:** *Acinetobacter baumannii*, molecular epidemiology, healthcare-associated infections, drug resistance

## 1 Background

2 Recognition of carbapenem-resistant *Acinetobacter baumannii* (CRAb) as a top priority  
3 in the battle against multidrug resistant infections[1] has prompted efforts to interrupt the  
4 propagation of this incidental pathogen among at-risk human hosts. CRAb infections  
5 disproportionately impact critically-ill individuals, so surveillance efforts have generally focused  
6 on intrahospital microbial reservoirs and outbreaks of hospital-acquired (HA) infections[2, 3].  
7 However, reports of CRAb acquisition occurring outside of hospital environments[4-6], raise  
8 concerns that outpatient reservoirs could sustain the CRAb epidemic, even if intrahospital  
9 transmission is eradicated.

10 Long-term care facilities and acute care hospitals (herein collectively referred as “LTFs”)  
11 are implicated as nonhospital “hubs” communicating multidrug resistant organism (MDRO)  
12 outbreaks between hospitals[6-11]. Evolutionary adaptations to colonize human gut, skin,  
13 genitourinary or upper respiratory niches may facilitate the flow of MDRO pathobionts (e.g.,  
14 Enterobacterales, *Staphylococcus*, *Enterococcus* etc.) among these non-hospital environments.  
15 Clinically-relevant lineages of environmental MDROs like CRAb, however, are not routinely  
16 identified in these commensal niches[3, 12-14] and their propagation is thought to more heavily  
17 rely on microbial reservoirs on nosocomial surfaces[3]. Given a presumptive lack of mobility, it  
18 remains controversial whether LTFs and other nonhospital reservoirs are sources for sustained  
19 occurrence of CRAb disease, independent of stable intrahospital pools.

20 *Ab* is a genetically diverse species capable of causing many types of infections[3, 15,  
21 16], but only a few global *Ab* lineages like the Pasteur scheme multilocus sequence types 2 and  
22 79 (ST2<sup>Pas</sup> and ST79<sup>Pas</sup>) have historically accounted for most healthcare-associated CRAb  
23 infections[17]. Acquisition of antimicrobial resistance and the ability to colonize nosocomial  
24 surfaces likely contribute to these lineages’ fitness in healthcare environments[3]. However, *Ab*  
25 demonstrates a high degree of genomic plasticity and access to a deep gene pool[18], which

1 could conceivably foster other lineages incidentally equipped to exploit changing trends in  
2 medical practices.

3 Here, we investigated *CRAb* propagation behaviors via detailed characterization of  
4 cases in a large USA healthcare system experiencing a sustained decrease of HA cases (i.e.,  
5 cases with index cultures identified >48 hours after hospital admission) attributed to the 2011-  
6 2012 remodeling of a BJC intensive care unit implicated in several nosocomial outbreaks and  
7 the implementation of robust hospital-wide infection control practices. [16] The steady  
8 occurrence non-HA (nHA) cases through 2019 a lack of in-hospital outbreaks since 2012 affords  
9 an opportunity to study *CRAb* propagation with limited contribution from intrahospital pools, so  
10 we employed whole genome sequencing (WGS) of clinical isolates to describe the regional  
11 nonhospital arena contributing to *CRAb* cases. We also tested the hypothesis that *CRAb*  
12 lineages responsible for HA cases pre-2012 are also driving the recent persistence of nHA  
13 cases.

## 14 15 **Methods**

16 **Study location and period.** This study was approved by the Washington University Institutional  
17 Review Board (IRB# 201707046 and 201707049) and was performed in five hospitals (herein  
18 denoted as BJC1-5) in the affiliated BJC HealthCare System (BJC) from January 1, 2007 to  
19 December 31, 2019. BJC is a large integrated inpatient and outpatient healthcare system  
20 serving St. Louis, Missouri, USA and surrounding areas. The BJC Epic electronic medical  
21 record (EMR) system integrates records from 27 of the 29 hospitals in the area, which allowed  
22 for review of an individual's microbiological results, hospitalization notes and outpatient  
23 appointments in both BJC and non-BJC systems. Study BJC hospitals and affiliated clinics use  
24 a central BJC clinical microbiology laboratory (BJC-CML).

1 **Retrospective case identification and definitions.** The BJC Clinical Data Repository (CDR)  
2 was used to identify cases associated with isolates identified as *Acinetobacter* according to  
3 automated biochemical methods or matrix-assisted laser desorption/ionization and time of flight  
4 mass spectroscopy (MALDI-TOF MS). To account for the unreliability of biochemical methods in  
5 distinguishing species within the *Acinetobacter calcoaceticus-baumannii* complex (*Acbc*),  
6 isolates reported as “*Acinetobacter baumannii*” or “*Acinetobacter calcoaceticus-baumannii*  
7 complex” were binned as “*Acbc* cases”. However, because carbapenem resistance (CR) is  
8 rarely reported in non-*baumannii* species and all genotyped carbapenem-resistant isolates in  
9 this and a previous U.S. study[19] were confirmed as *Ab*, all CR isolates were labeled CR*Ab*.  
10 Case clinical data was obtained from the BJC CDR and by review of EMR, and cases lacking  
11 carbapenem susceptibility data (n=98) and hospital outbreak surveillance cultures (n=54) were  
12 excluded. Remaining cases were defined by the first culture containing an *Acinetobacter* isolate  
13 per patient (“index culture”) and classified into five categories according to tissue source:  
14 “respiratory”, “skin and soft tissue/musculoskeletal” (SST/MSK), “urinary”, “blood” (isolates  
15 obtained from blood, central lines, or other endovascular devices or grafts), or “other.” Nearly all  
16 2017-2019 CR*Ab* cases met “healthcare-associated” criteria[20]. So to better resolve changes  
17 in epidemiology, we defined cases as “hospital-acquired” (HA) if index culture was collected  $\geq 48$   
18 hours after hospital admission and prior to discharge, or “nonhospital-acquired” (nHA) for all  
19 others, as done before[16].

20 **Clinical isolate banking and definitions.** We performed a prospective, convenience banking  
21 of *Acinetobacter* isolates identified in the BJC-CML between July 1, 2017, and May 31, 2019  
22 (Figure S1A). Isolates identified as an *Acinetobacter* species according to MALDI-TOF MS  
23 were eligible for inclusion, though we were occasionally unable to bank all isolates prior to their  
24 disposal by routine BJC-CML practices. If more than one morphologically distinct colony on  
25 culture plate was identified as *Acinetobacter*, both colonies were stored. At the end of the  
26 banking period, all banked isolates (n=207) were matched to their respective clinical metadata

1 and processed for genomic analysis. The earliest isolate belonging to a Pasteur scheme  
2 multilocus sequence typing (MLST) sequence type (ST) per person was denoted the “index  
3 isolate”, with subsequent isolates denoted as “non-index isolates.” Multiple index isolates with  
4 unrelated STs could be obtained from a single individual. Herein, banked isolates are named by  
5 number (e.g., “isolate 212” denotes WU\_MDCI\_Ab212, etc.).

6 **Antibiotic susceptibility testing (AST) and antibiotyping.** AST was performed in a CLIA and  
7 CAP accredited clinical microbiology laboratory, and interpreted per Clinical and Laboratory  
8 Standards Institute guidelines[21]. Per BJC-CML protocols, all *Acinetobacter* isolates are  
9 routinely tested by Kirby-Bauer disk diffusion on Mueller-Hinton Agar, for susceptibility to  
10 ceftriaxone (CRO), ceftazidime (CAZ), cefepime (FEP), meropenem (MEM), piperacillin-  
11 tazobactam (TZP), ampicillin-sulbactam (SAM), trimethoprim-sulfamethazole (SXT),  
12 gentamicin (GM), and ciprofloxacin (CIP). MEM non-susceptible isolates are reflex tested with  
13 tobramycin (TOB), amikacin (AMK), imipenem (IPM), doxycycline (DOX) and minocycline (MIN).  
14 Zone of clearance (ZOC) results were obtained from the BJC CDR, and index isolates missing  
15 AST results were tested when recovered from frozen cultures. Isolates non-susceptible to either  
16 IPM or MEM were defined as “CR”. All cases and isolates were assigned an antibiotype, i.e.,  
17 ast-1 thru ast-5, according to their AST results and the algorithm shown in **Figure S1B**. Non-  
18 banked cases missing any AST result in the algorithm, were labeled “non-typeable” (nt).

19 **Whole-genome sequencing (WGS) and comparative analysis.** A full description of the well-  
20 established processing pipelines [22] used for genome assembly and comparative genomics  
21 and clonality analyses (**Figure S1**) is provided in the **Supplementary Text**. All sequence files  
22 are available under NCBI BioProject PRJNA739144 (BioSample accession numbers  
23 SAMN19774044-4250) (**Data S2**). Genome sequences of *Ab* isolates from other geographical  
24 regions were obtained from NCBI for global comparative analyses (**Data S3**). In a multi-step  
25 clonality analysis, “presumptive” clonal clusters were identified using pairwise core genome

1 single nucleotide polymorphisms (cgSNP) and whole genome average nucleotide identity  
2 (wgANI). Clustered isolates that shared a common ancestor per subsequent intra-ST  
3 phylogenetic analysis were binned into “confirmed” clonal clusters labeled with their respective  
4 ST and a number (i.e., “cluster 499-1”). Patients in each cluster were labeled according to when  
5 an isolate displaying the pertinent antibiotic type was first identified in their clinical timeline (see  
6 below), where patient A being the earliest.

7 **Visualizing clinical timelines and suspected transmission sites.** Clinical care received by  
8 patients in clusters was detailed by extensive review of EMR. Dates for seven “encounter” types  
9 as defined in **Table S1**, were logged. The “wound care/surgical clinic” encounter type was  
10 chosen because of the predominance of SST/MSK specimens in a recent BJC study[16]. We  
11 defined facilities as “suspected transmission sites” if either (a) a CRAb-positive patient shared  
12 an encounter with a different patient within the same cluster with a subsequently positive  
13 culture; or (b) a shared encounter preceded positive culture for at least two patients in a cluster.  
14 Other shared features incidentally identified in our analysis, are noted in Results.

15 **Statistical analysis.** Univariate analyses were performed with SPSS v25 (IBM, USA) or R  
16 software v 3.6.2[23]. Chi-squared or independent t-test was performed for comparing  
17 categorical or continuous variables, respectively. Statistical significance was defined as  $p$  values  
18  $<0.05$ .

## 20 Results

### 21 Shifts in CRAb epidemiology in BJC

22 Of 2,157 *Acba* cases identified in five BJC hospitals in 2007-2019, 2,059 index cultures with  
23 carbapenem susceptibility results were eligible for analysis, including 928 (45.1%) CRAb  
24 cultures (**Data S1**). The annual percent of CRAb cases being nHA increased from 30-45% in  
25 2007-2009 to 60-75% in 2014-2019 (**Figure 1A**). Additionally, latter CRAb isolates were more



1 susceptible to non-carbapenem antibiotics (**Table S2**), with the prevalence of the most narrowly  
2 resistant antibiotic, ast-5, increasing from 1.8% of CRAb cases (13/726) prior to 2017 to  
3 73.6% (23/40) in 2019 (**Figure 1B**). This coincided with the near disappearance of more  
4 broadly resistant antibiotic types that prevailed pre-2012, when CRAb cases were predominantly  
5 HA (**Figure 1**).

### 7 **ST499<sup>Pas</sup> CRAb lineage displaying a distinguishable antibiotic predominates BJC**

8 To better understand this shift in CRAb epidemiology, we performed WGS on 207 isolates  
9 representing 53.5% (177/331) and 62.0% (54/87) of total *Acinetobacter* and CRAb cases,  
10 respectively, identified in BJC between July 2017 and May 2019. Except for a higher likelihood  
11 of being banked in earlier calendar quarters, characteristics of banked and non-banked cases  
12 were alike (**Table S3 and S4**). wgANI confirmed 90 index and 20 non-index *Ab* isolates from 87  
13 cases (**Data S2**), including cases where strains from distinct Pasteur scheme multilocus  
14 sequence types (ST<sup>Pas</sup>) were co-isolated on the same (isolates 32/33 and 204/205) or  
15 subsequent dates (isolates 215/223). All genotyped CR isolates belonged to *Ab* STs: ST499<sup>Pas</sup>,  
16 ST406<sup>Pas</sup>, ST2<sup>Pas</sup>, ST79<sup>Pas</sup>, ST78<sup>Pas</sup> and ST1<sup>Pas</sup> (n= 35 [38.9% of total *Ab* index isolates], 13  
17 [14.4%], 5, 3, 2, and 1 respectively) (**Figure 2A**). Isolates within these STs largely shared  
18 lineage-associated beta-lactamase gene repertoires, according to antimicrobial resistance gene  
19 analysis (**Figure S2A**). Of 35 isolates exhibiting the ast-5 antibiotic type, 31 (88.6%) were  
20 ST499<sup>Pas</sup>. The other four (i.e., isolates 39, 165, 233, and 249) were outlier ST406<sup>Pas</sup> isolates  
21 lacking *ant(2'')-Ia/aadA2* and *sul1*, the genes putatively conferring aminoglycoside and  
22 sulfonamide resistance, respectively, in all other ST406<sup>Pas</sup> genomes and the outlying ST499<sup>Pas</sup>  
23 isolate 212 (**Figure 2 and Data S4**).

24 Our initial antibiotyping scheme relied on only a subset of EMR-reported susceptibility  
25 *interpretations* (Figure S1B). To better define BJC CRAb subtypes, we integrated genomic  
26 results and a more exhaustive *quantitative* analysis of AST results to all antibiotics routinely

1 tested in the BJC-CML. AST ZOC data of 2017-2019 *Ab* cases (**Figure 2B-2D, Figure S2B,**  
2 **Table S5**) revealed that non-genotyped ast-5 isolates composed a distinctively homogenous  
3 group that most resembled genotyped ST499<sup>Pas</sup> isolates. Like ST499<sup>Pas</sup>, ast-5 isolates  
4 displayed (a) intermediate SXT susceptibility lower than most CsAb isolates and ST406<sup>Pas</sup>  
5 outliers that lacked *sul1* (resulting in the variation reported in their SXT AST results, **Figure 2A**  
6 **and 2B**); (b) ZOC to tetracyclines and aminoglycosides comparable to other susceptible CRAb;  
7 and (c) characteristic ZOC distribution to each  $\beta$ -lactam antibiotic, including high resistance to  
8 MEM/IPM (**Figure 2C**) but intermediate susceptibility to CAZ/FEP (**Figure S2B**). Though the  
9 link between ST and antibiotic type was not absolute (Figure 2A), these findings are strongly  
10 suggestive that ST499<sup>Pas</sup> is responsible for the recent surge of ast-5 isolates observed in BJC  
11 (**Figure 1B**).

12

### 13 **ST499<sup>Pas</sup> clonal clusters were linked to regional nonhospital settings**

14 A global phylogenetic analysis incorporating 510 genomes of *Ab* isolates from other regions  
15 revealed that BJC ST2<sup>Pas</sup> and ST406<sup>Pas</sup> isolates represented multiple subgroups within their  
16 respective global clades (**Figure S3**), consistent with sporadic introductions of these ST into our  
17 cohort. In contrast, a single phylogenetic cluster contained all BJC ST499<sup>Pas</sup> isolates except for  
18 the outlying isolate 212, which was obtained from an individual transferred from an unaffiliated  
19 hospital in the Southeastern USA. ST499<sup>Pas</sup> isolates were regularly isolated throughout 2017-  
20 2019 from various tissues sources and in four of the five study hospitals, and only 13 of 35  
21 cases met HA criteria (**Figure 2**). So, despite sharing a recent common ancestor, ST499<sup>Pas</sup>  
22 cases unlikely resulted from a spatiotemporally hyperlocalized outbreak.

23 To understand how BJC ST499<sup>Pas</sup> emerged in our region, we assigned presumptive  
24 clonal relationships between isolates according to cutoffs of cgSNP distance  $\leq 5$  and wgANI  
25  $\geq 99.997\%$  (**Figure 3A-B**). The use of more inclusive cutoffs (i.e., cgSNP distance 10-30 and  
26 wgANI 99.990-99.995%) yielded minimal changes to presumptive clusters in sensitivity

1 analyses (**Figure S4**). Cluster composition was subsequently confirmed if isolates shared a  
2 common ancestor according to ST<sup>Pas</sup>-specific core genome phylogeny (**Figure 3C-D**). All non-  
3 index and corresponding index isolates clustered closely (**Figure 3**), and comparable results  
4 were obtained when clustering by either ST<sup>Pas</sup>-specific accessory gene content or adjusting for  
5 potential recombination events (**Figure S5**).

6 We detected six ST499<sup>Pas</sup> (**Figure 3C and 4A-B**) and one ST406<sup>Pas</sup> clonal clusters  
7 (**Figure 3D**), and suspected transmission sites were identified in four ST499<sup>Pas</sup> clusters (**Figure**  
8 **4C-F**). Cluster 499-1 was composed of four patients (A-D) with recent exposure to clinic 4  
9 (CLIN4) and/or CLIN5; two overlapping residents of long-term care facility 6 (LTF6) patients  
10 colonized with the phylogenetically distinct isolates 210 and 217 (**Figure 4B**, patients D and E);  
11 and two patients with multiple incidences of ST499<sup>Pas</sup>/ast-5 isolates across many months (G, H),  
12 who had resided in LTF9 (**Figure 4C**). Patient 499-1F lacked an obvious link, except for  
13 recurrent admission to BJC1. Three of four cluster 499-2 patients repeatedly visited CLIN2  
14 (**Figure 4D**), and all cluster 499-5 patients had resided in LTF10 during a 3-month span (**Figure**  
15 **4E**). Lastly, 499-6 was the only cluster containing individuals (A-D) enrolled in the local Veterans  
16 Affairs (VA) medical system. Though VA records were not available, patients B-D were long-  
17 term residents of LTF26 (**Figure 4F**). Shared exposures were not identified for clusters 499-3,  
18 cluster 499-4 and 406, but no single hospital linked these cases, either. Moreover, patients 499-  
19 3B, 499-3D and 499-4D were not hospitalized in a study hospital prior to their index culture  
20 (**Figure S6**). In summary, various contemporary clonal networks were linked to cluster-specific,  
21 nonhospital settings that likely facilitated their propagation.

## 22 23 **Discussion**

24 We report a sharp rise of ST499<sup>Pas</sup> CRAb cases in the St. Louis region, which  
25 remarkably coincided with the decline of CRAb displaying differing antibiotypes. ST2<sup>Pas</sup> and  
26 ST79<sup>Pas</sup>, two of the top three globally prevalent CRAb lineages[17], overwhelmingly

1 predominated *Ab* cases in recent molecular surveys at two other USA Midwest academic  
2 hospital systems (**Figure S3**)[24, 25], and evidence supports these lineages previously  
3 predominated in our region. The ast-1/-2 or ast-4 antibiotypes characteristic of BJC ST2<sup>Pas</sup> or  
4 ST79<sup>Pas</sup> isolates, respectfully (**Figure 2A**), were displayed by >85% of pre-2016 BJC CR*Ab*  
5 isolates but only 17% of CR*Ab* in 2019. Furthermore, in a U.S. nationwide CR*Ab* survey  
6 conducted in 2008-2009, all isolates contributed by BJC were ST2<sup>Pas</sup> or ST79<sup>Pas</sup> (n=11 and 2,  
7 respectively)[19]. While these putative pre-2012 BJC ST2<sup>Pas</sup> infections were overwhelmingly HA  
8 and arguably resulted from clonal, intrahospital outbreaks, recent ST2<sup>Pas</sup> cases were seemingly  
9 result of sporadic, unrelated clones (**Figure S3**). Altogether, these findings imply the elimination  
10 of conditions that were once conducive to the propagation of major global lineages in the region.

11 Conversely, the coinciding emergence of BJC ST499<sup>Pas</sup> reflects the lineage's capacity to  
12 exploit a different epidemiological space. In contrast to CR*Ab* propagation centering on inpatient  
13 and critical care settings pre-2012, we appreciated no contribution from intrahospital  
14 transmission to the ongoing occurrence of ST499<sup>Pas</sup> (**Figure 5**). Consistent with local CR*Ab*  
15 cases transitioning towards nHA SST/MSK and urinary cases[16], ST499<sup>Pas</sup> propagation  
16 appeared to center around clinics serving patients with chronic wounds and LTFs (**Figure 4**).  
17 Though the latter are recognized hotspots for CR*Ab* transmission[6-8], wound clinics have been  
18 historically underappreciated. We observed multiple instances (e.g., patients 499-1B, 499-1G,  
19 499-1H, 499-4D, etc.) in which ST499<sup>Pas</sup> was isolated over the span of up to 12 months from a  
20 single patient, establishing that individuals residing in the community are either persistently  
21 colonized or repeatedly exposed to long-lived CR*Ab* pools outside of hospitals. This merits  
22 further investigation, as these asymptomatic individuals could serve as incidental vectors  
23 communicating outbreaks between different locations and exposing individuals at risk for severe  
24 disease[26].

25 Pasteur scheme ST499<sup>Pas</sup> (not to be confused with the unrelated Oxford scheme  
26 ST499<sup>Oxf</sup> [27]) was first described in the US in a 2010 blood isolate [28], although the single

1 locus variant ST123<sup>Pas</sup> was detected once among Las Vegas 2008-2009 isolates [19].  
2 Remarkably, though the 2008-2009 U.S. nationwide survey identified no ST499 isolates [19], a  
3 subsequent analysis of CR*Ab* in four U.S. hospital centers (performed concurrently and  
4 independently from our study) revealed ST499<sup>Pas</sup> as the second most prevalent lineage, second  
5 only to ST2<sup>Pas</sup> [29]. ST499<sup>Pas</sup> is not part of any major global clone and, apart from three 2014  
6 isolates in Tanzania and one 2000 isolate in Australia, has been exclusively identified in North  
7 America [17, 30, 31]. Though capable of acquiring genes encoding broader resistance, as  
8 evidenced by isolate 212 (**Figure 2**), ST499<sup>Pas</sup> displayed the most narrow CR*Ab* antibiotic type,  
9 effectively dismissing antibiotics as the main driver of its emergence. Importantly, the fact that  
10 no ST499<sup>Pas</sup> intrahospital outbreak has been reported to our knowledge does not preclude its  
11 capacity to establish sustained intrahospital transmission and disease (akin to preceding  
12 lineages), if given the opportunity.

13         Though our study is limited to describing CR*Ab* in a single metropolitan area, BJC is  
14 representative of modern healthcare systems[24] where increasing reliance on outpatient  
15 services for managing chronic illnesses may facilitate outpatient CR*Ab* propagation.  
16 Surveillance studies remain the gold standard for tracking MDRO transmission trends, but non-  
17 targeted surveillance of outpatient environments can be resource intensive and complicated by  
18 local practice variations. Furthermore, the utility of surveillance screening of *Ab* has been  
19 brought into question[32], in part due to an incomplete understanding of *Ab* carriage and natural  
20 reservoirs. As shown here, combining genomic analysis of clinical isolates with a regionally  
21 integrated EMR system represents an alternative that can be especially valuable for guiding  
22 larger subsequent surveillance efforts.

23         Importantly, the resolution afforded by employing WGS and multilevel criteria for clonal  
24 clusters was key to disentangling CR*Ab* networks in a patient population with overlapping  
25 exposures. For example, patient 499-1H admission to LTF10 (**Figure 4A**) coincided with the  
26 LTF10 admission of cluster 499-5 patients (**Figure 4E**), but unambiguous assignment of isolate

1 237 to cluster 499-1, instead, implicates LTF9. Though our convenience cohort was  
2 representative of the overall BJC isolate population, being unable to assign shared exposures to  
3 some ST499<sup>Pas</sup> clusters may have resulted from failure to capture keystone cases, including  
4 possible cases of intrahospital transmission. Also, future investigations should include other  
5 healthcare exposures associated with chronic medical conditions, including home health  
6 services, emergency room visits, non-wound/surgical clinics, and other outpatient services (e.g.,  
7 radiology, dialysis, infusion centers, etc.), as these may be underappreciated factors facilitating  
8 outpatient CRAb propagation (**Figure 5**). Lastly, the phylogenetic diversity of BJC CsAb isolates  
9 (**Figure 2A**) implies exposure to a deep *Ab* gene pool existing outside of healthcare settings  
10 and highlights that *Ab* is an environmental species that survives in various human-independent  
11 niches that remain to be identified [2]. The outpatient arena represents the next front in the fight  
12 against CRAb disease, and elucidating how CRAb persists in nonhospital settings will be a  
13 cornerstone for future combat strategies.

14  
15

1 **Footnotes**

2 **Competing interests.** The authors declare no competing interests in regards to this work.

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9 **Prior presentations.** This research was presented in part as an oral abstract (#154) at ID Week  
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18 **Authors' contributions.** JJC conceived and designed the study. MCSA performed health  
19 record review. RFP was involved with design of comparative genomic analysis. CAB and MAW  
20 collected samples. JJC performed specimen handling, genome sequencing, data management  
21 and statistical analysis. JJC prepared figures and tables, and wrote the first draft of the  
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6 waiver of informed consent was granted as all specimens and clinical records were obtained  
7 during routine clinical care and many patients were already deceased or would otherwise have  
8 been unable to be contacted.

9 **Availability of data and materials.** Sequence read files and constructed genomes for  
10 sequenced BJC isolates are available on NCBI under BioProject PRJNA739144, and  
11 BioSample accession numbers SAMN19774044-4250. The datasets used and analyzed during  
12 the current study are available from JJC on reasonable request. Access to retrospective clinical  
13 case datasets including patient demographics and specific dates is restricted due to patient  
14 privacy protections.

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## 1 Figure Legends

2

3 **Figure 1. Recent BJC carbapenem-resistant *Acinetobacter* (CRAb) cases are principally**  
 4 **non-hospital acquired (nHA) and associated with isolates displaying a unique antibiotype**  
 5 **(ast). (a)** Annual CRAb cases that were hospital-acquired (HA) and nHA (gray and black bars,  
 6 respectively) and annual percentage of cases that were nHA (“nHA ratio”, dots). **(b)** Annual  
 7 CRAb cases associated with index isolates exhibiting each antibiotype (colored bars) and  
 8 annual percentage of cases associated with ast-5 isolates (“ast-5 ratio”, dots). Y-axes values in  
 9 all panels represent rolling two-year average for respective ratios. nt, non-typeable due to  
 10 missing AST data (see **Figure S1B**). Raw values are listed in **Data S1**.

11

12 **Figure 2. Unique ast-5 antibiotype is linked to prevalent CRAb lineage BJC ST499<sup>Pas</sup>. (a)**  
 13 Maximum likelihood phylogenetic tree of BJC *A. baumannii* index isolates according to core  
 14 genome alignment. ST<sup>Pas</sup> containing CRAb isolates are highlighted and labeled. Inner rings  
 15 represent isolate metadata according to the corresponding keys surrounding the tree. Outer  
 16 rings show AST results grouped by class and flanked by boxes denoting the presence (filled  
 17 box) or absence of listed genes putatively conferring class resistance. For clarity, only genes  
 18 exclusive to resistant isolates are included. Isolate metadata are listed in **Data S2**, and all  
 19 identified resistance genes are listed in **Data S4**. **(b-d)** Antibiotic susceptibility according to zone  
 20 of clearance, of genotyped *Ab* index isolates (red dots, grouped by ST<sup>Pas</sup>) and non-genotyped  
 21 *Acbc* index cultures (black dots, grouped by antibiotype) identified between January 2017 and  
 22 December 2019. Backgrounds highlight ranges for “resistant” (dark) and “intermediate” (light)  
 23 susceptibility, per CLSI guideline interpretation. Box-plot center lines denote medians, while box  
 24 limits denote upper and lower quartile values (listed in **Table S5**). Whiskers denote 1.5x  
 25 interquartile range. Medians were compared according to Mann-Whitney test with Bonferroni  
 26 adjustment for multiple comparisons, with bar color denoting pairwise significant differences.

1 black bars,  $p < 0.05$ ; green bars,  $p < 0.005$ ; red bars,  $p < 0.0005$ . CsAb, carbapenem-susceptible  
 2 *A. baumannii*.

3

4 **Figure 3. Clonal clusters were identified exclusively among ST499<sup>Pas</sup> and ST406<sup>Pas</sup>**  
 5 **isolates. (a,b)** Network analyses visualized with Cytoscape, demonstrating relatedness of  
 6 isolates according to core genome SNP distance (panel A) and whole genome ANI (panel B).  
 7 Each node represents an isolate, with nodes color-coded according to isolation hospital (border)  
 8 and calendar quarter (fill), per key in panel B. Edges represent interactions that meet cutoffs of  
 9  $cgSNP \leq 5$  (panel A) or  $wgANI \geq 99.997\%$  (panel B), with degree of relatedness represented by  
 10 edge width and color, both represented in key. Edge lengths are manually adjusted for clarity.  
 11 Insets demonstrate histograms of values from pairwise comparisons between isolates obtained  
 12 from different patients (light gray) or isolates obtained from the same patient (dark gray). Only  
 13 extreme values are displayed, for clarity. Dotted lines denote cutoff values for edges in  
 14 respective networks. **(c)** Maximum likelihood tree derived using 1881 SNPs identified from  
 15 alignment of 2.63 Mbp in 2702 core genes of ST499<sup>Pas</sup> isolates. **(d)** Maximum likelihood trees  
 16 derived using 16644 SNPs identified from alignment of 2.09 Mbp in 2110 core genes of  
 17 ST406<sup>Pas</sup> isolates. For clarity in panels C and D, only bootstrap values  $< 90\%$  are included. Each  
 18 leaf is color-coded according to isolation hospital (square) and calendar quarter (circle), per key  
 19 in panel D. Isolates obtained from the same patient are highlighted in shared label colors, with  
 20 index isolates listed in bold. Isolates belonging to clonal clusters highlighted in panels A and B,  
 21 are denoted by branch color and vertical labels in panel C and D.

22

23 **Figure 4. Epidemiologic links within ST499<sup>Pas</sup> clonal clusters. (a,b)** Unrooted version of  
 24 ST499<sub>p</sub> phylogenetic tree from **Figure 3C**. Panel B is  $\sim 10x$  enlargement of region highlighted by

1 gray rectangle in panel A. Clonal clusters are denoted by colored branches. Tree leaf symbols  
2 denote hospital of isolation (see panel B inset key). BJC isolates obtained from the same patient  
3 share label color. **(c-f)** Clinical timelines of patients (labeled A-H on Y-axis) in 499-1, 499-2,  
4 499-5 and 499-6, respectively. As presented in the key adjacent to panel C, colored symbols on  
5 each patient timeline denote dates of hospitalizations (wide rectangles), long term care facilities  
6 admissions (LTF, narrow rectangles), outpatient clinic visits (CLIN, circles), and pertinent clinical  
7 cultures (triangles with labels colored according to culture specimen). Full color-coded key is on  
8 right. Shaded areas between timelines highlight shared exposures suspected of facilitating  
9 clonal propagation (see text). 28-month span represented on X-axis of panel F, is conserved in  
10 all panels. WGS, whole genome sequencing; EMR, electronic health record; *Ab*, *A. baumannii*.

11

12 **Figure 5. The spectrum of CRAb propagation habits ranging from stable intrahospital**  
13 **pools (left) to centering around outpatient environments (right).** LTF, long-term care  
14 facilities; trx, patient transfers.

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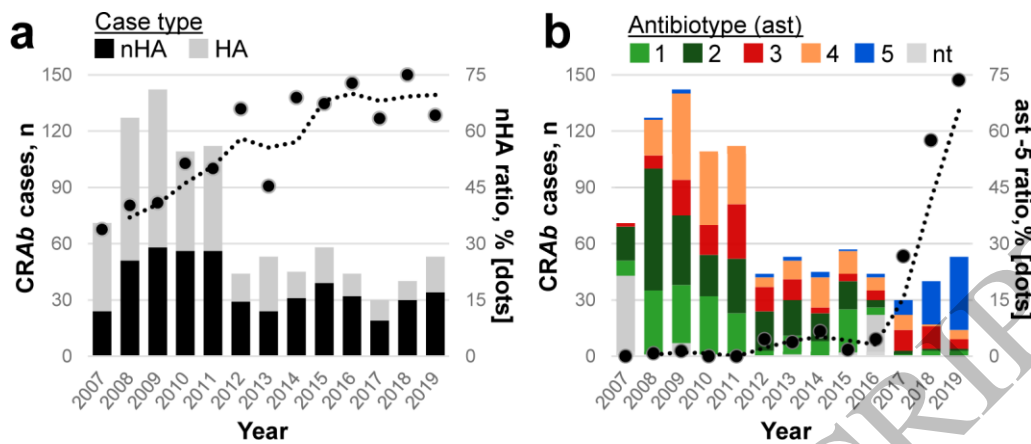


Figure 1  
135x56 mm (.83 x DPI)

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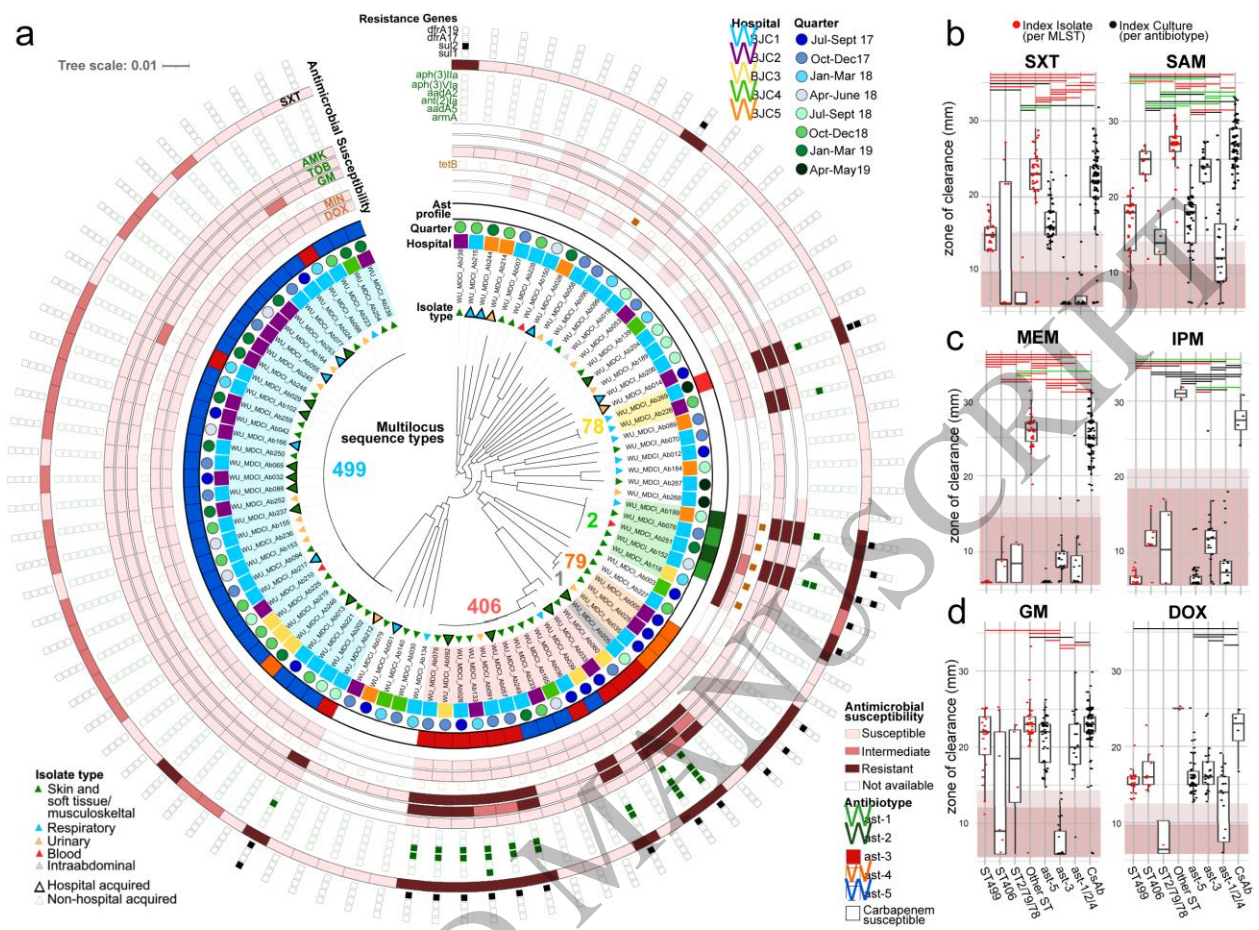


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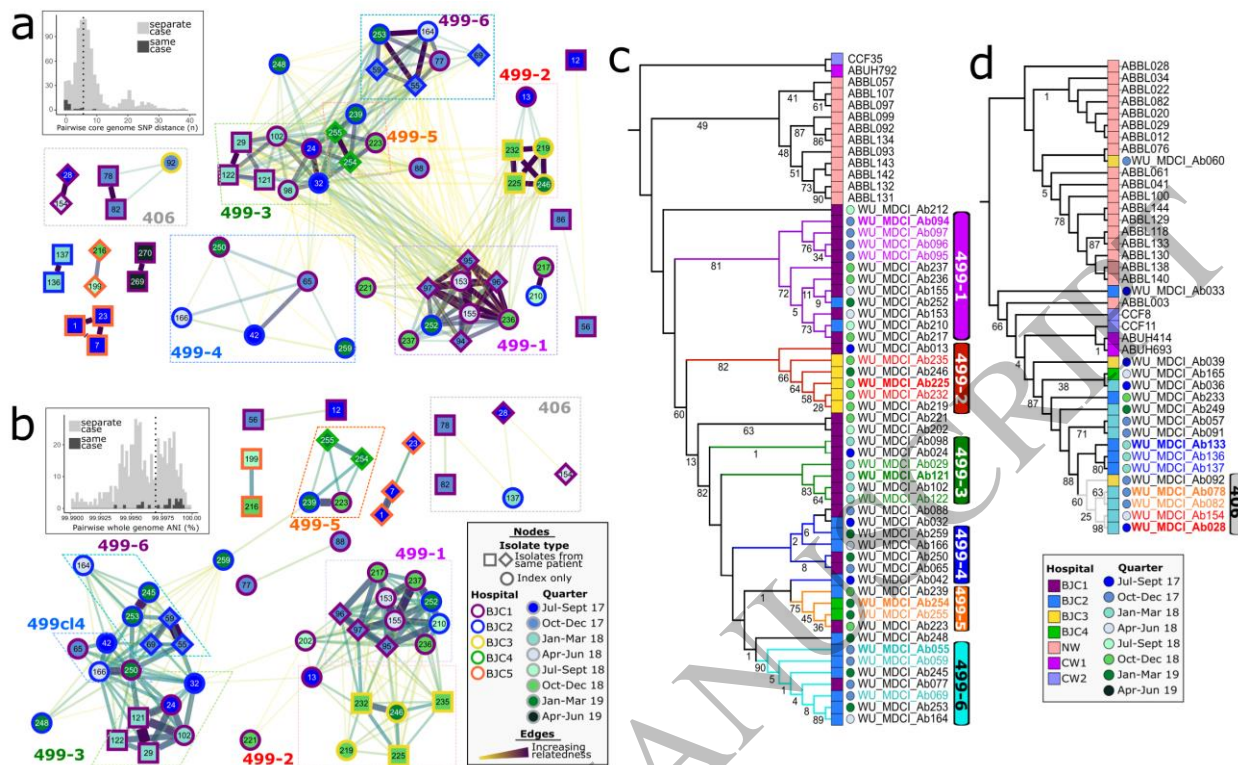
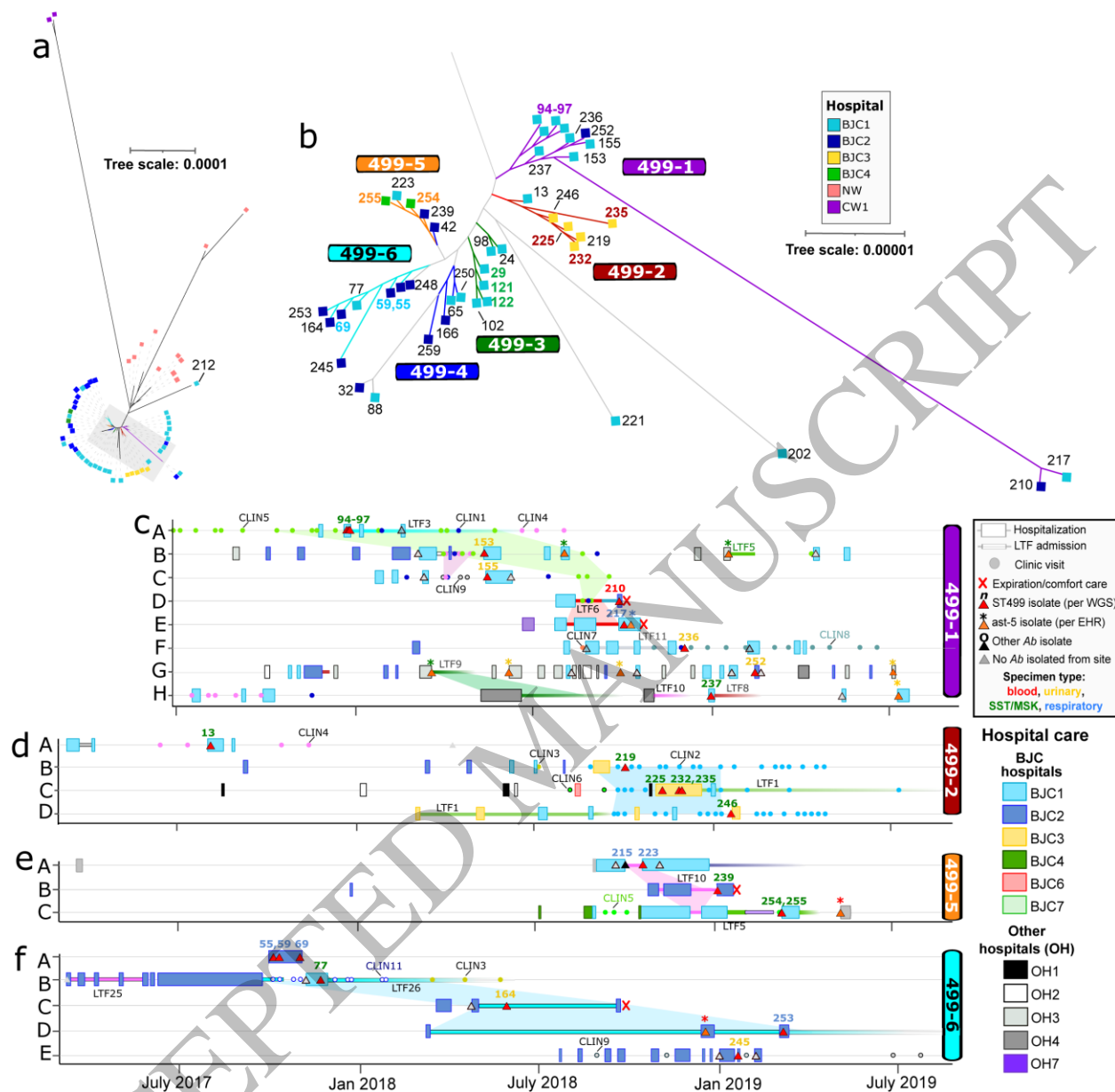


Figure 3  
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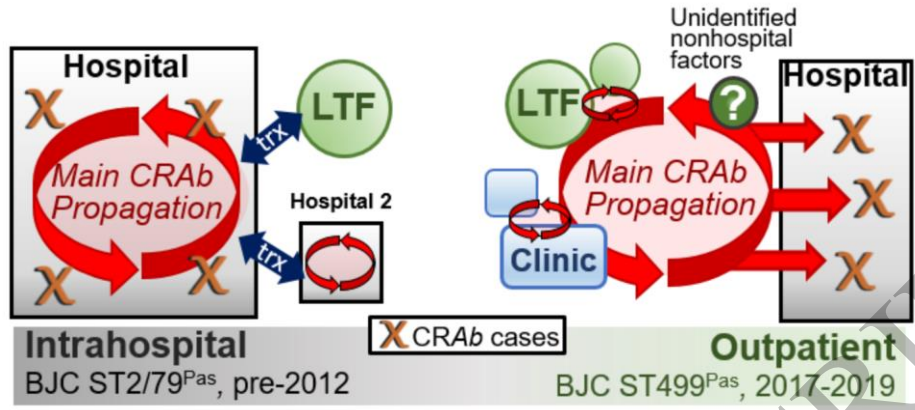


Figure 5  
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